### ARC Area Development

Application Process





#### Welcome

- Jen Gregory, Executive Director
- Beth Lucas, Regional Planning Director
- Board Members:

https://southerntier8.org/regional-organization/

## APR 4

ARC Program
Overview

#### Project Development Q&A



**Partnerships** 



Budgeting



Performance Measures



**Project Sustainability** 



E G I O N A L B O A R D

#### Agenda

- 1 ARC Area Development Overview
- Who Can Apply?
- Is My Project a Good Fit for ARC?
- How Can I Apply?
- 5 Pre-Application Overview

#### ARC



outhern Tier 8

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The Appalachian Regional Commission (ARC) is a partnership between the federal government, state governments, and Local Development Districts (LDDs) to promote economic development in the Appalachian region.

- Federal Government: Provides funding and sets broad program priorities.
- State Governments: Develop state-specific strategies and allocate funds.
- Local Development Districts (LDDs): Work directly with communities to identify needs, develop projects, and implement ARC-funded initiatives.

This structure ensures a community-lead approach where economic development efforts are tailored to local needs while benefiting from federal and state resources.

#### Area Development

The ARC Area Development Program provides funding to support projects that advance economic development and quality of life in the Appalachian region. The program focuses on creating jobs, building infrastructure, strengthening the workforce, and leveraging the region's natural and cultural assets to drive sustainable growth.

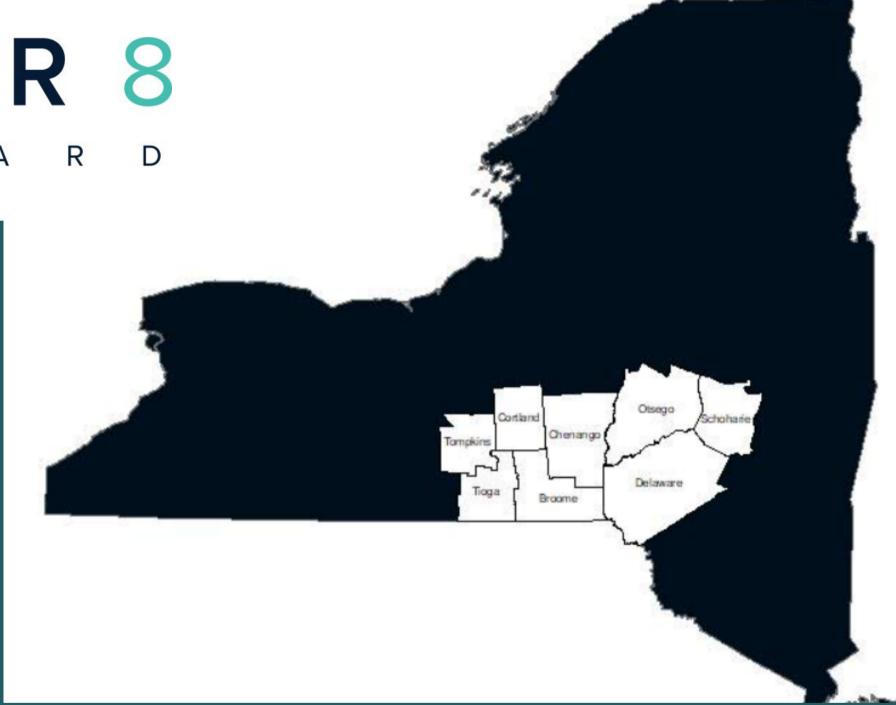
Funding for new or expanding programs/ projects. CANNOT be continuation (AKA Maintenance of Effort).



#### SOUTHERN TIER 8

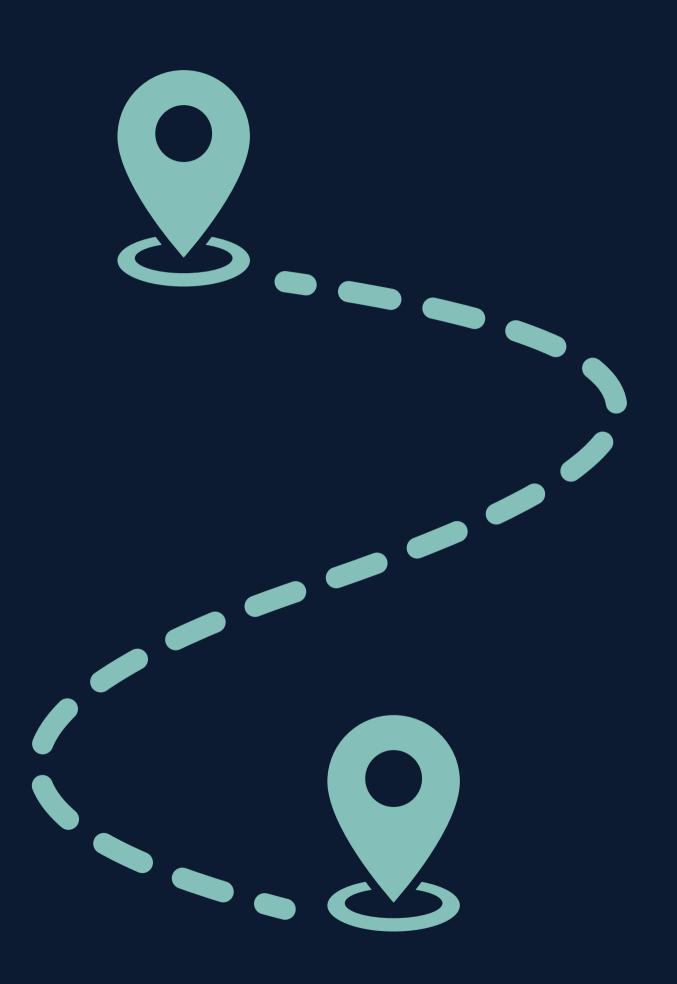
REGIONAL BOARI

ST8 is the designated Local Development District (LDD) for this region, serving as a vital partner to local governments, nonprofits, and community. We play a pivotal role in guiding the region's access to funding through the Appalachian Regional Commission (ARC) Area Development Program.



Acting as the designated Local Development District (LDD), the Southern Tier 8 Board reviews and approves project proposals, ensuring they align with ARC's strategic priorities and the region's specific needs. Approved projects are then submitted to New York State and ARC for funding consideration and prioritization.

# Who can apply?



#### ELIGIBLE APPLICANTS



GOVERNMENT ENTITIES



EDUCATIONAL INSTITUTIONS



NONPROFIT ORGANIZATIONS



ECONOMIC DEV ORGANIZATIONS



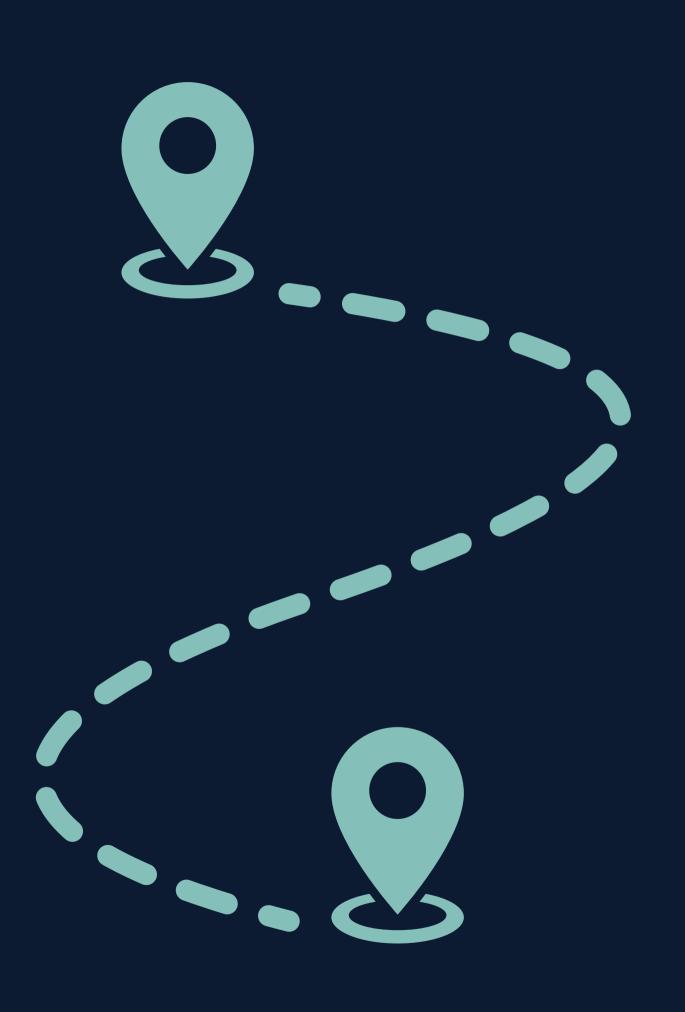
PUBLIC-PRIVATE PARTNERSHIPS\*

\*For public-private partnerships, the public entity must be the primary applicant and is responsible for managing the project. Private sector partners can play a supporting role, with certain limitations on activities and funding structures.

ARC funding is open to a range of organizations committed to advancing economic development and enhancing quality of life in the Appalachian region.

These include government entities, nonprofit groups, educational institutions, and partnerships that work to address the region's unique needs and opportunities.

## smy project a good fit for ARC?



#### ARC Investment Priorities

ARC goal areas are critical for selecting projects because they ensure investments align with strategic priorities that address the Appalachian region's most pressing needs.

By focusing on these areas, chosen projects can maximize their impact, foster sustainable growth, and create long-term benefits for communities.



#### **Building Appalachian Businesses**

Invest in entrepreneurship and business development to create jobs and build a robust regional economy.



Develop a skilled workforce prepared to meet the demands of existing and emerging industries.



Build and improve infrastructure, including broadband, water, transportation and energy systems, to foster economic growth.



Strengthen the region's natural and cultural assets to support economic development and community wellbeing.



Enhance the ability of local communities and leaders to plan, implement, and manage projects effectively.





#### Regional and State Priorities

Aligning with state and local economic development plans is essential when applying for ARC funding to ensure projects address regional priorities and demonstrate a cohesive strategy for growth.

Projects that align with these plans are more likely to gain support from stakeholders, fit into broader economic initiatives, and show their potential to create lasting impact, increasing their chances of approval and successful implementation.

#### **NYS ARC Strategy**

State-level goals, such as fostering economic opportunities, improving workforce readiness, and enhancing infrastructure, are tailored to the unique needs of Appalachian communities within New York. Demonstrating alignment with these goals strengthens a project's case for funding by showing its relevance to state priorities and its potential to contribute to regional growth, sustainability, and economic stability, while also advancing ARC's overarching mission.

Demonstrating alignment with state goals not only positions the project as a strong candidate for funding but also ensures it integrates seamlessly with complementary initiatives beyond ARC, leveraging broader programmatic synergies to maximize impact



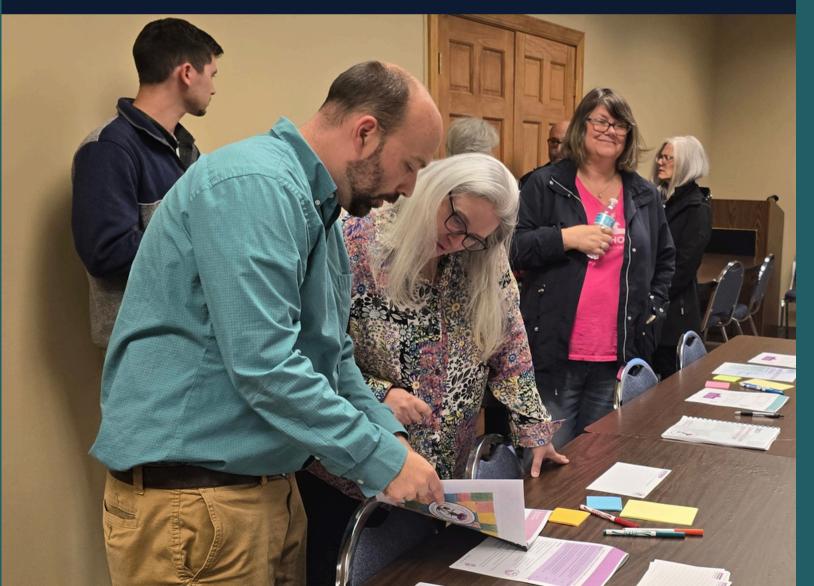
#### **Southern Tier 8 CEDS**



Aligning with the Southern Tier 8
Comprehensive Economic Development
Strategy (CEDS) is essential to ensure
that projects reflect the priorities and
needs of the local region. The CEDS
outlines strategies to promote regional
economic growth, strengthen workforce
development, and enhance
infrastructure across the Southern Tier.

By demonstrating alignment with this plan, projects show their relevance to local stakeholders, address pressing regional challenges, and contribute to the area's long-term prosperity. This alignment also underscores a project's role in advancing both state and federal ARC goals while remaining deeply rooted in the region's unique economic and community priorities.

#### Key Considerations for Project Planning



#### → Project Impact

- Will the project have a broad, regional impact, enable replication across the region, and/or be integrated into the broader collaborative framework?
- Does the project respond to the unique needs of the region's demographics or key industry sectors?
- Partnerships: Q&A Session, 4/7/25 at Noon

#### Project Cost and Match

- Applications are limited to a maximum of \$250,000
- All ST8 Region counties are designated as "Transitional" requiring 50% matching funds of the total project cost.
- Budgets: Q&A Session, 4/9/25 at 2pm

#### Project Alignment

- Does the project meet the strategic goals of ARC? New York State? ST8 Comprehensive Economic Development Strategy?
- Have you been engaged with Southern Tier 8's strategic planning efforts, industry summits and other collaborative efforts?
- Performance Measures: Q&A Session, 4/14/225 at 2pm

#### Project Sustainability

- Grants generally 12-18 months
- How will the project or program be sustained in the long term?
- Maintenance and operation? Ongoing programmatic costs?
- Sustainability: Q&A Session, 4/17/25 at Noon

#### Partnerships

#### **Letter of Commitment**

- Why are we partnering?
- Who is doing what?
- What resources are we contributing?
- How will we coordinate?
- How will we measure our shared success?



#### **Shared Goals**

Clear, mutually beneficial objectives

#### **Defined Roles**

Specific Contributions and partnership structure

Strong Projects. Strong Results.

#### Resource Commitments

Finanical, in-kind or expertise based contributions

#### **Communication and Metrics**

Transparent collaboration, progress tracking, and impact evaluation

#### MEET THE MATCH



#### **Local Match**

LOCAL FUNDS

General fund allocations, municipal bonds, capital funds, revenues

STATE GRANTS

CFA, DRI, Others

IN-KIND

Munipal staff time, materials, equipment, fringe, indirect, etc.

PHILANTHROPIC CONTRIBUTIONS

Foundation grants, donations, funds raised by non-profit partners

PRIVATE INVESTMENTS

Direct investments, land/building donations, equipment/training/infrastructure support

**Z** 

OTHER FEDERAL PROGRAMS

EDA, USDA, DOL, CDBG, other non-ARC funds







Up to \$250,000

#### Performance Measures

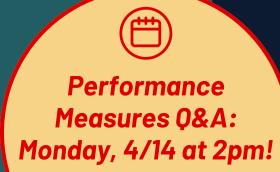
ARC performance measures are specific metrics used to evaluate the impact and effectiveness of funded projects. They help applicants build strong proposals by providing a framework to define goals, track outcomes, and ensure alignment with ARC's strategic priorities.

By clearly incorporating these measures, projects can demonstrate clear, measurable benefits, making them more competitive for funding.

#### **GUIDANCE:**

<u>https://www.arc.gov/resource/guide-to-arc-project-performance-measures/</u>

<u>https://admin.steny.org/southern-tier-8/assets/ca58ybf5geo8gcck</u>





#### 01 Building Appalachian Businesses

- New businesses created
- Private investment leveraged
- Jobs created or retained



#### **02** Building Appalachia's Workforce Ecosystem

- Individuals trained or certified
- Trainees who gain employment
- Partnerships with educational institutions





#### 03 Building Appalachia's Infrastructure

- Households or businesses improved
- Miles of infrastructure upgraded
- Communities served



#### 04 Building Regional Cuilture and Tourism

- Increase in tourism related revenue
- Partnerships or collaborations formed
- Community programs supported



#### 05 Building Community Leaders and Capacity

- Leaders or organizations trained
- Partnerships or collaborations formed
- Strategies or planning documents developed

#### Project Sustainability

Sustaining a project over the long term requires a strong foundation that maintains momentum, sustains resources, and ensures lasting impact.

- Financial Stability
  - Ongoing, diversified funding
  - Long-term financial planning (revenues, grants, cost-sharing)
  - Operations/maintenance
- Organizational Capacity
  - Continuity, clear structure and roles
  - Expertise, skilled staff and partners
  - Performance through systems for ongoing tracking
- Community Support
  - Stakeholder engagement and transparency to maintain relevance
  - Demonstrated success and benefits
  - Adaptability to address evolving needs
  - Commitment to long-term use

Idea
Strategic/
Comprehensive
Planning



#### Sustainability

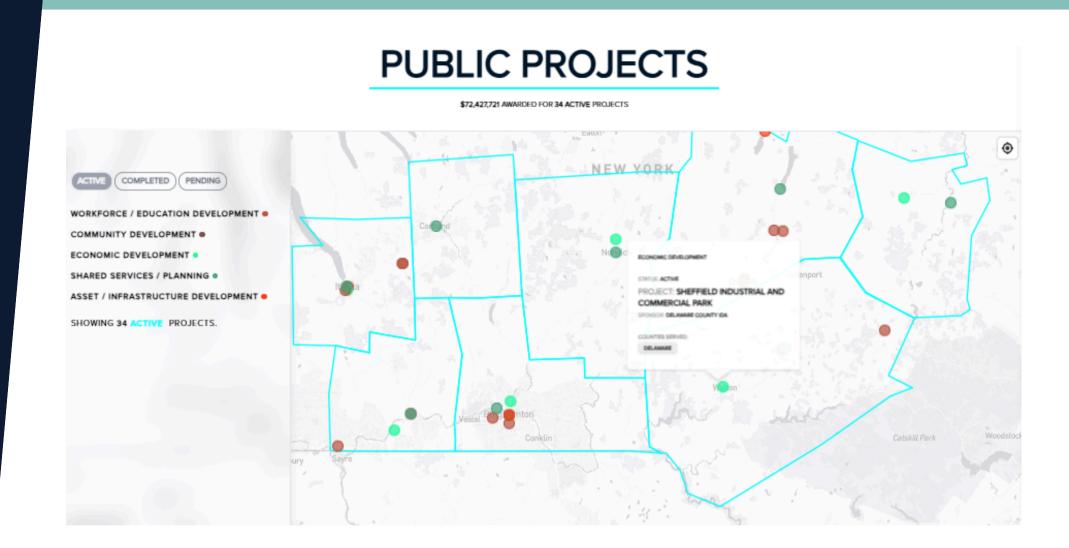
Financial Stability
Organizational Capacity
Community Support





## Showcase of Projects

https://www.so-tier.com/ public-investments





CAREER DESTINATIONS - CONNECTING
STUDENTS TO LOCAL JOBS AND CAREERS
WORKFORCE / EDUCATION DEVELOPMENT

INVESTOR: ARC

PARTNERS/SPONSORS: DCMO BOCES

YEAR AWARDED: 2020

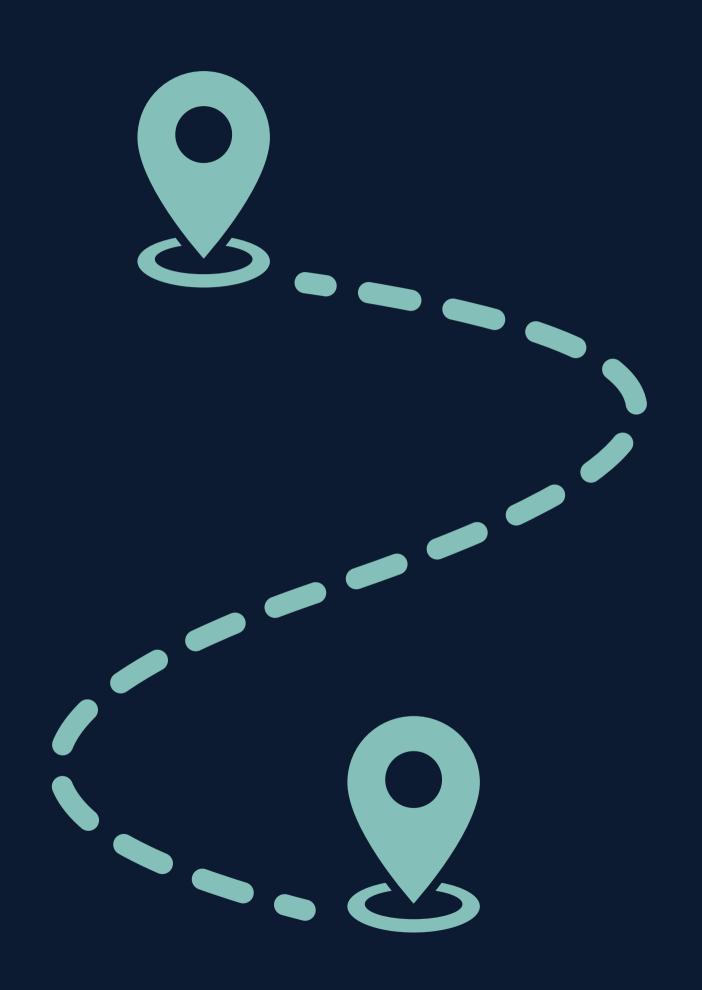
INVESTMENT: \$99,817

Prepare students to pursue higher education and employment within local industries, such as Advanced Manufacturing, Agriculture, Healthcare, and Business

IMPACT

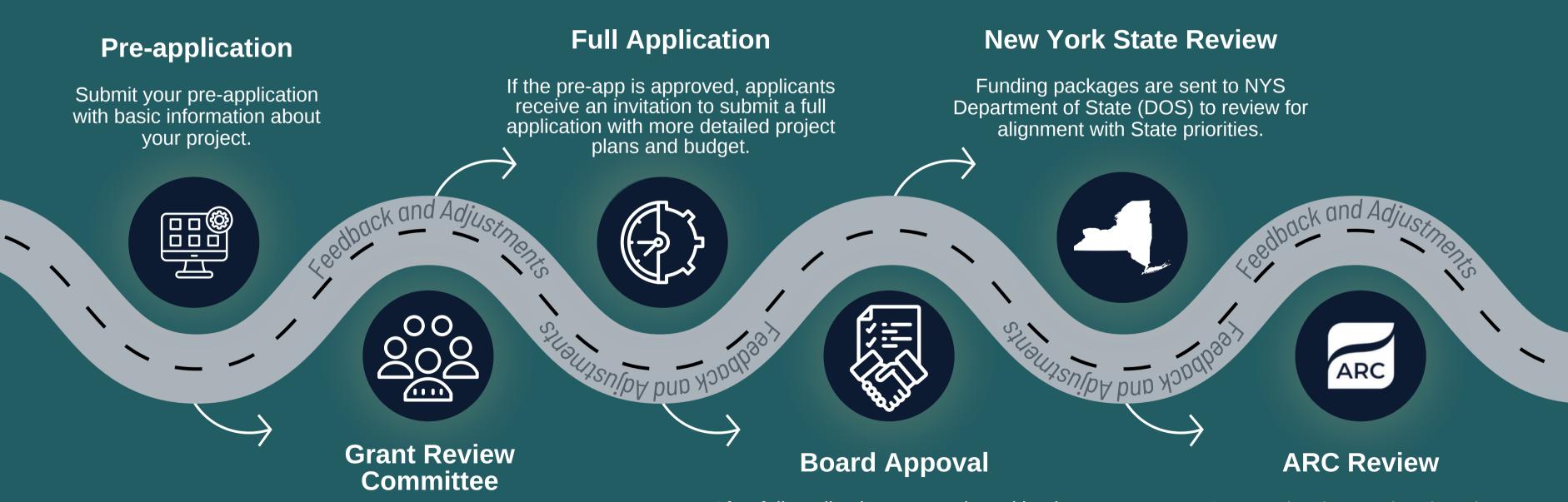
**WORKFORCE SERVED: 763** 

## How can lapply?



#### ARC AREA DEVELOPMENT ROADMAP

Projects submitted for ARC funding are reviewed for inclusion in the following fiscal year, allowing for comprehensive evaluation and alignment with funding priorities. The ARC application process doubles as a project development tool, enabling applicants to refine their proposals for feasibility, regional impact, and strategic alignment. ST8 staff offers technical assistance throughout the process to streamline applications and ensure the region's projects are competitive and well-prepared.



Pre-application is reviewed by ST8 staff and the ST8 Planning Committee for regional alignment and feasibility. Projects that are "ready" will be selected to move on to next steps.

After full applications are reviewed by the Planning Committee, recommendations for project funding are sent to the full ST8 board for thier approval

Once review is completed, projects are forwarded to ARC for final approval and funding consideration.





State NYSDOS

Local ST8 **ARC Funds Awarded** 

Appalachian Regional Commission Review
Will recieve feedback from ARC for any
missing info or backup documentation.

NYS Department of State Review

Will recieve feedback from ST8 Board and NYSDOS for modifications.

6/12: Full Appliation Deadline
Grant Review Committee Recommendation
Board Approval

5/19: Invitation to submit full application Required Full App Q&A Session, 5/20

4/28: Pre-application Submitted by 3pm Project Interviews, 4/28-5/13

## Area Development Timeline

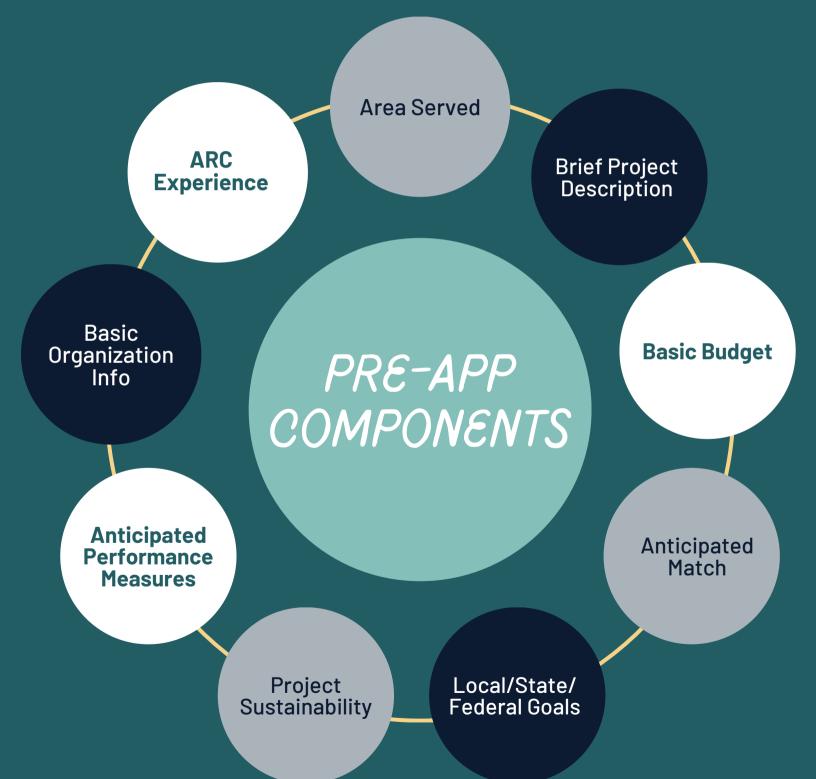


Date	Milestone
3/31	Pre-application Opens
4/28 at 3pm	Pre-application Submittal Deadline
Early May	Planning Committee Review
5/19*	Invitation to submit Full Application
6/12	Full Application Deadline
Summer	Planning Committee Review and Recommendations
Summer	ST8 Board Project Approvals
TBD	Project Packages Submitted to NYS
TBD	Project Packages Submitted to ARC



#### Submit your pre-application now...

A pre-application provides an initial project overview to assess eligibility and alignment with ARC priorities, offering feedback to refine proposals before full application.



#### Items to address early:

#### Federal Unique Entity Identifier (UEI)

Required for all federal funding applications, ensuring your organization is properly register in the System for Award Managment.

#### Letter of Alignment

Letter from the County Planning Directors in the counties served is required. This ensures that the project is also in alignment with local planning goals.

Find the pre-application form here: <a href="https://southerntier8.org/how-to-apply">https://southerntier8.org/how-to-apply</a>

## Exploring ARC's Specialized Grant Opportunities

ARC offers several other targeted grant opportunities that address specific challenges and opportunities within the Appalachian region.

Programs follow separate and individual processes and timelines.

As applicants move through the Area Development process, ST8 can help you explore how your project fits within the broader ecosystem of solutions.

Aligning with these targeted initiatives can enhance the project's impact by contributing to a coordinated regional effort to address critical challenges and opportunities that have been identified by ARC.

#### POWER

Partnerships for Opportunity and Workforce and Economic Revitalization Initiative

POWER helps diversify the economies of communities and regions affected by job losses in coal mining, coal power plant operations, and coal-related supply chain and logistics industries due to the changing economics of America's energy production



ARISE fosters creative
collaboration across state lines to
drive large-scale, regional
economic opportunities that
strengthen Appalachian
businesses
and industry



INSPIRE addresses the substance use crisis by creating or expanding a recovery ecosystem that will lead to workforce entry or re-entry of individuals in recovery from substance use disorder (SUD)



READY Appalachia is a community capacity-building initiative that offers flexible funding to Appalachian nonprofit organizations, community foundations, local governments, and Local Development Districts (LDDs), and no-cost cohort-based learning and hands-on training to the Appalachians who work for them

https://www.arc.gov/grants-and-opportunities/

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#### Project Development Q&A



**Partnerships** 



Budgeting



Performance Measures



**Project Sustainability** 



E G I O N A L B O A R D

#### NEED ASSISTANCE...

https://southerntier8.org/how-to-apply https://www.arc.gov

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